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March 20, 2002

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ORIGINALLY FILED

"Express Mail" mailing number EV 023031037 US
Date of Deposit March 20, 2002
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C. 20231
Bonnie S. Sheridan Bonnie S. Sheridan

Commissioner for Patents
BOX MISSING PARTS
Washington, D.C. 20231

Re: U.S. Patent Application Serial No. 09/997,162 for
NON-THERMIONIC SPUTTER MATERIAL TRANSPORT DEVICE,
METHODS OF USE, AND MATERIALS PRODUCED THEREBY
Our File No. 297/104/2

Sir:

Please find enclosed the following:

1. Notice to File Missing Parts of Nonprovisional Application (2 pages);
2. Response to Notice to File Missing Parts (2 pages);
3. Recordation Form Cover Sheet (PTO-1595; 1 pages);
4. Executed Assignment (2 pages);
5. Executed Declaration (2 pages);
6. Copy of postcard indicating receipt of substitute formal drawings by U.S. Patent and Trademark Office (1 page);
7. A check in the amount of \$105.00;
8. A return-receipt postcard to be returned to our offices with the U.S. Patent and Trademark date stamp thereon; and

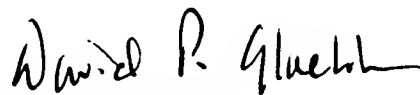
Commissioner for Patents
March 20, 2002
Page 2

9. A Certificate of Express Mail No.: EV 023031037 US.

Please contact our offices if there are any questions with respect to this matter.

Respectfully submitted,

JENKINS & WILSON, P.A.



David P. Gloekler
Registration No.: 41,037
Customer No. Bar Code Label:

DPG/bss

297/104/2

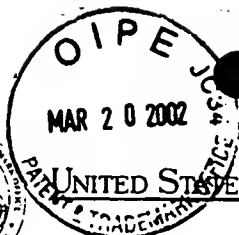
Enclosures



25297

PATENT TRADEMARK OFFICE

16



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/997,162	11/29/2001	Jerome J. Cuomo	297/104/2

CONFIRMATION NO. 3621

FORMALITIES LETTER



OC000000007608548

25297
JENKINS & WILSON, PA
3100 TOWER BLVD
SUITE 1400
DURHAM, NC 27707

COPY OF PAPERS
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Date Mailed: 03/08/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

03/22/2002 AMABI1 00000053 09997162

01 FC:205

65.00 OP

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Express Mail Number: EV 023031037 US
Date of Deposit: March 20, 2002
I hereby certify that this paper and all papers and fees referred to herein are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner of Patents, Washington, D.C.



Bonnie S. Sheridan
Bonnie S. Sheridan

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Cuomo et al.

Group Art Unit: Not Assigned

Serial No.: 09/997,162

Examiner: Not Assigned

Filed: November 29, 2001

Docket No.: 297/104/2

Confirmation No.: 3621

For: NON-THERMIONIC SPUTTER MATERIAL TRANSPORT DEVICE, METHODS OF USE, AND MATERIALS PRODUCED THEREBY

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION - FILING DATE GRANTED

Commissioner for Patents
BOX MISSING PARTS
Washington, D.C. 20231

Sir:

This is responsive to the Notice to File Missing Parts of Nonprovisional Application dated March 8, 2002, having a term that expires on May 8, 2002. Accordingly, please find enclosed the following:

1. An original executed Declaration for the above-referenced U.S. Patent Application;
2. An original executed Assignment and related Recordation Form Cover Sheet for the above-referenced U.S. Patent Application; and
3. A check in the amount of \$105.00 (\$65.00 representing the Missing Parts surcharge fee for a small entity; and \$40.00 representing the Assignment recordation fee).
4. A copy of the postcard indicating receipt of substitute formal drawings by the U.S. Patent and Trademark Office.

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A copy of the Notice to File Missing Parts is being filed simultaneously.

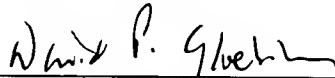
Although a check is being submitted herewith, the Commissioner is hereby authorized to charge any deficiency or credit any overpayments in connection with the filing of this Response to Deposit Account No. 50-0426.

Respectfully submitted,

JENKINS & WILSON, P.A.

Date: March 20, 2002

By:


David P. Gloekler
Registration No. 41,037

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297/104/2 DPG/bss

Enclosures



25297

PATENT TRADEMARK OFFICE



#6

The U.S. Patent and Trademark
Office date stamp sets forth the
date of receipt of:

Attorney: DPG/bss
Docket No.: 297/104/2
Inventor(s): Cuomo et al.
Serial No.: 09/997,162

Title: NON-THERMIONIC SPUTTER MATERIAL TRANSPORT DEVICE, METHODS
OF USE, AND MATERIALS PRODUCED THEREBY

The following items mailed to the U.S. Patent and Trademark Office on the date indicated
below:

1. Transmittal Letter (1 pg);
2. Transmittal of Formal Drawings (1 pg); FEB 13 2002
3. Formal Drawings (12 pgs); and
4. Return-Receipt Postcard



☒ First Class Mail
☐ Express Mail Label No.: _____

☐ Large Entity
☒ Small Entity

Date Transmitted: January 25, 2002

MAR 04 2002

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